



## Colegio de Abogados de Puerto Rico

PO Box 9021900  
San Juan, Puerto Rico 00902-1900  
Tel. 721-3358 • Fax: 725-0330

*File*

*Office - Bar Asso./Col  
de Abogados*

9 de diciembre de 2003

Lcdo. Carlos A. Romero(7526)  
3195 Ponce de León Blvd.  
Suite 400  
Coral Gables, FL 33134

Estimado compañero:

En respuesta a su comunicación recibida vía fax el 8 de diciembre de 2003, en relación al descuento como objetor para los años 2003 y 2004, a continuación le desglosamos los descuentos y el total a pagar.

Cuota	Año	Seguro de Vida	Objetor	Total
\$200	2003	-79.20	-15.90	\$104.90
\$200	2004	-79.20	-15.92	\$104.88
<b>TOTAL A PAGAR</b>				<b>\$209.78</b>

Cualquier duda al respecto, no dude en comunicarse nuevamente con nosotros.

Cordialmente,

*[Signature]*  
José M. Montalvo Trias  
Director Ejecutivo

snp

*Wach CAP  
2860*



Carlos A. Romero

*Office - Ben Dues/PR*

From: Socorro Narváez [sistema@capr.org]  
Sent: Thursday, December 01, 2005 9:02 AM  
To: Carlos A. Romero  
Subject: FACTURA CUOTA 2006  
Attachments: image003.wmz; oledata.mso

COL  
PO |  
Tel.  
E-M



PUERTO RICO  
AN PR 00902-1900  
209 Fax (787) 722-7062

**FACTURA  
CUOTA DE COLEGIACIÓN 2006**

La Ley núm. 43 de 14 de mayo de 1932, creando el Colegio de Abogados y el Reglamento de esta Institución, dispone que la cuota de colegiación vence el 31 de enero del año que se factura.  
(Artículo 8 de la Ley y Artículo 7 del Reglamento).

**7526**

**LCDO CARLOS A ROMERO**

*Wach CAP  
3497*

**FAVOR DE DEVOLVER ESTA PARTE CON SU PAGO**

✂=====

**NUM COLEGIADO: 7526**

**LCDO CARLOS A ROMERO**

CUOTA AÑO 2006	\$200.00
DESCUENTO OBJETOR	13.08
DESCUENTO SEGURO DE VIDA	\$78.00
<b>TOTAL</b>	<b>\$108.92</b>

**CANTIDAD REMITIDA** \$ \_\_\_\_\_

**Unofficial translation of letter**

**Colegio de Abogados de Puerto Rico  
[address and phone numbers]**

December 9, 2003

Attorney Carlos A. Romero (7526)  
3195 Ponce de Leon Blvd.  
Suite 400  
Coral Gables, FL 33134

Dear colleague:

In response to your communication received by fax on December 8, 2003, in relation to the discount as objector for the years 2003 and 2004, we disclose the discounts and the total amount to pay as follows.

<b>Dues</b>	<b>Year</b>	<b>Life Insurance</b>	<b>Objector</b>	<b>Total</b>
\$200	2003	-79.20	-15.90	\$104.90
\$200	2004	-79.20	-15.92	\$104.88
<b>TOTAL DUE</b>				<b>\$209.78</b>

Any doubt as to any of this, do not hesitate to communicate with us again.

Sincerely yours,

/s/

Jose M. Montalvo Trias  
Executive Director

snp

**Unofficial translation of Email from Colegio de Abogados de  
Puerto Rico to Carlos A. Romero, Jr.**

**Carlos A. Romero**

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**From:** Socorro Narvaez [sistema@capr.org]  
**Sent:** Thursday, December 01, 2005 9:02 a.m.  
**To:** Carlos A. Romero  
**Subject:** INVOICE FOR 2006 DUES  
**Attachments:** image003.wmz; oledata.mso

**[Colegio de Abogados de Puerto Rico and emblem]**

**INVOICE  
MEMBERSHIP DUES 2006**

**Law No. 43 of May 14, 1932, creating the Colegio de Abogados and the  
Regulation of this Institution provides that the membership dues become  
owing on January 31 for the year that is invoiced.  
(Article 8 of the Law and Article 7 of the Regulation).**

**7526**

**ATTORNEY CARLOS A ROMERO**

**PLEASE RETURN THIS PART WITH YOUR PAYMENT**

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**MEMBER NUMBER: 7526**

**ATTORNEY CARLOS A ROMERO**

ANNUAL DUES \$200.00

DISCOUNT OBJECTOR 13.08

DISCOUNT LIFE INSURNACE \$78.00

**TOTAL \$108.92**

**AMOUNT PAID \$\_\_\_\_\_**



## **INFORME DE LA TESORERA 2008**

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**COLEGIO DE ABOGADOS DE PUERTO RICO**

**ASAMBLEA ANUAL 168**



COLEGIO DE ABOGADOS DE PUERTO RICO  
Estados de Actividades y Cambios en Activos Netos  
31 de diciembre de 2007 y 2006

	<u>2007</u>	<u>2006</u>
Cambios en activos netos sin restricciones:		
Ingresos y otros incrementos:		
Cuotas de colegiados, neto de descuentos	\$ 2,609,553	2,477,811
Venta de sellos forenses y notariales	622,582	778,558
Servicios al Fondo de Fianza		
Notarial (nota 5)	179,196	176,199
Alquiler de locales (nota 11)	80,564	100,344
Ingresos de asambleas	130,553	173,968
Intereses bancarios	14,523	41,088
Ingresos de inversiones	121,133	95,472
Cambio en ganancia/(pérdida) no realizada	17,300	(20,339)
Otros ingresos	62,365	60,659
Activos con restricciones temporales liberados para uso	72,980	148,867
	<u>3,910,749</u>	<u>4,032,627</u>
Gastos y otros incrementos:		
Compensaciones y beneficios marginales	945,266	900,223
Servicios profesionales	175,857	131,023
Seguro de vida colegiados (nota 12)	-	996,197
Montepío (nota 12)	800,000	-
Asamblea y otros servicios a colegiados	413,154	383,276
Compra y producción de publicaciones	156,273	154,472
Servicios administrativos	351,672	495,548
Reparaciones y mantenimiento	97,791	80,116
Servicios públicos	153,352	137,955
Materiales de oficina e imprenta	65,337	73,567
Organismos institucionales	503,590	424,662
Depreciación y amortización	199,015	233,715
Intereses	24,862	23,445
Uso de los activos con restricciones temporales liberados	72,980	148,867
	<u>3,959,149</u>	<u>4,183,066</u>
Aumento/(disminución) en activos netos sin restricciones	<u>(48,400)</u>	<u>(150,439)</u>

(Continuación)

Véase el informe de los auditores independientes y  
las notas que acompañan a los estados financieros.



COLEGIO DE ABOGADOS DE PUERTO RICO  
Notas a los Estados Financieros  
-10-

(12) Seguro de Vida A Colegiados y "Montepío"

Hasta el 31 diciembre de 2006 el Colegio le ofrecía a sus miembros el beneficio de seguro de vida a través de un contrato con una compañía de seguros. Efectivo el 1 de enero de 2007, el Colegio decidió proveer directamente ese servicio a sus colegiados y asumir el costo correspondiente. Para proveer dicho servicio a los colegiados se creó un fideicomiso conocido como "Montepío". Durante el 2007, el Colegio separó fondos para el "Montepío" por la cantidad de \$800,000 como asignación inicial para proveer el servicio. Hasta el 31 de diciembre de 2007, la cantidad de \$365,567 había sido desembolsada a los beneficiarios.

El Colegio entiende que no ha incurrido en un compromiso para proveer este servicio por lo que sus aportaciones son de carácter voluntario. En la medida en que haya fondos disponibles se estarán proveyendo beneficios bajo este programa.

**Unofficial Translation**

**REPORT OF THE TREASURY 2008**

**[DRAWING]**

**[EMBLEM OF COLEGIO]**

**COLEGIO DE ABOGADOS DE PUERTO RICO**

**ANNUAL ASSEMBLY 168**

**COLEGIO DE ABOGADOS DE PUERTO RICO**  
**Statement of Activities and Changes of Net Assets**  
**December 31, 2006 and 2007**

	<u>2007</u>	<u>2006</u>
Changes in net assets without restrictions:		
Income and other increments:		
Membership dues, net of discounts	\$2,609,553	\$2,477,811
Sale of forensic and notarial stamps	622,582	778,558
Services to the Notarial Bond Fund (note 5)	179,196	176,199
Rent of locales (note 11)	80,564	100,344
Income from assemblies	130,553	173,968
Bank interest	14,523	41,088
Investment income	121,133	95,472
Changes of unrealized gain/(loss)	17,300	(20,339)
Other income	62,365	60,659
Assets with temporary restrictions for permitted use	<u>72,980</u>	<u>148,867</u>
	<u>3,910,749</u>	<u>4,032,627</u>
Expenses and other increments:		
Compensation and marginal benefits	945,266	900,223
Professional services	175,857	131,023
Life insurance for members (note 12)	-	996,197
Montepio (note 12)	800,000	-
Assembly and other services to members	413,154	383,276
Purchase and publications	156,273	154,472
Administrative services	351,672	495,548
Repairs and maintenance	97,791	80,116
Public services	153,352	137,955
Materials for office and printing	65,337	73,567
Institutional organizations	503,590	424,662
Amortization and depreciation	199,015	233,715
Interest	24,862	23,445
Use of assets with temporary restrictions permitted for use	<u>72,980</u>	<u>148,867</u>
	<u>3,959,149</u>	<u>4,183,066</u>
Increase/(decrease) in net assets without restrictions	(48,400)	(150,439)

(Continuation)

See report of independent auditors and the notes that follow the financial statement

**COLEGIO DE ABOGADOS DE PUERTO RICO**  
**Notes to the financial statements**  
**-10-**

(12) Life insurance for members and trust

Until December 31, 2006, Colegio offered its members life insurance benefit through an insurance company. Effective January 1, 2007, Colegio decided to provide directly this service to its members and assume the corresponding costs. To provide said service to its members, Colegio created a trust known as "Montepio." During 2007, Colegio transferred funds to the "Montepio" in the amount of \$800,000 as an initial assignment to provide this service. Through December 31, 2007, the amount of \$365,567 was paid to the beneficiaries.

Colegio understands that it has not incurred an obligation to provide this service since its contributions are of a voluntary character. To the extent there are funds available, these benefits shall be provided under this program.



**COLEGIO DE ABOGADOS DE PUERTO RICO  
(PUERTO RICO BAR ASSOCIATION, CAPR)**

**SPECIAL GENERAL MEMBERSHIP MEETING**



**RESOLUTION NUMBER 1**

**TO EXPRESS THE WILL OF THE MEMBERS OF THE CAPR TO RECEIVE  
SERVICES AND BENEFITS LIKE THOSE OFFERED BY MUTUAL AID SOCIETIES**

- WHEREAS:** The CAPR was created pursuant to Law N° 43 enacted May 14, 1932, establishing compulsory affiliation for all lawyers and vesting authority upon the CAPR to establish an annual membership fee of \$200.00 set in 1994, with the approval of the majority of the membership present at a general membership meeting.
- WHEREAS:** The CAPR has the power to protect its active members in professional practice, and, through the creation of mutual aid societies, insurance and special funds systems, or any other means, lend support to those who are forced to retirement due to physical disabilities or advanced age, and the heirs or beneficiaries of those who have died.
- WHEREAS:** The CAPR, exercising its powers since the decade of 1950 and until December 31<sup>st</sup>, 2006, has offered its members a life insurance program, financed with a portion of the annual membership fee.
- WHEREAS:** In November of 1994, Carlos A. Romero, Esq. presented a claim against the CAPR before the U. S. District Court in Puerto Rico. The claim challenged the life insurance program the CAPR offered its membership and requested, among others, the issuance of an order that would block the CAPR from charging him the portion of his membership dues corresponding to the life insurance program and that the CAPR be ordered



to reimburse him the totality of all monies collected from his dues to finance said program.

**WHEREAS:**

On October 9<sup>th</sup>, 2002, more than seven years after the mentioned litigation began, and having gone through the interventions of the First Circuit Court of Appeals of the U. S. and the Puerto Rico Supreme Court; the U. S. District Court in Puerto Rico, through designated Judge Patti B. Sarris, ordered the CAPR to return to Mr. Romero the portion of his annual fee earmarked to financing the life insurance program during the specific years that he objected to participating in the mentioned program, and prohibited that in future the CAPR include that portion in Mr. Romero's dues.

**WHEREAS:**

The order issued on October 9<sup>th</sup>, 2002, by the U. S. District Court for the District of Puerto Rico was notified to the CAPR's membership through the Treasurer's Reports for the years 2003 and 2004.

**WHEREAS:**

On June 27<sup>th</sup>, 2006, attorneys Herbert W. Brown III, José L. Ubarri, and David W. Román presented to the U. S. District Court in Puerto Rico, on their own right and representing all members of the CAPR, a class action suit against the CAPR, challenging the life insurance program it offered its membership financed through a portion of the annual fee agreed by the General Membership Meeting. The plaintiffs requested a declaratory judgment, an injunction, and the imposition of compensation amounting to millions against the CAPR.

**WHEREAS:**

The CAPR Board of Directors for 2004-2006, with the purpose of establishing an aid fund and to make its implementation viable, decided unanimously to end the life insurance program financed from a portion of the annual membership's dues set by the General Membership Meeting. The Board of Directors of the CAPR for 2006-2008 ratified that decision.

**WHEREAS:**

As a consequence of the elimination of the life insurance program financed from a portion of the annual membership's dues, the CAPR, in fulfillment of its obligations and pursuant to its powers, established, effective January 1<sup>st</sup>, 2007, a mutual aid fund not financed with the annual membership's dues set by the General Membership Meeting.

**WHEREAS:**

Since the establishment of the charitable fund to the present, the CAPR has aided heirs or beneficiaries of more than one-hundred (100) members who passed away.

**WHEREFORE: BE IT RESOLVED BY THE SPECIAL GENERAL MEMBERSHIP MEETING OF THE CAPR:**

- First:** Endorse the creation of a mutual aid fund financed from monies not originating from the annual membership fees set by the General Membership Meeting.
- Second:** Reject the class action suit presented at the U. S. District Court for the District of Puerto Rico by attorneys Herbert W. Brown III, José L. Ubarri, and David W. Román, on their own right and representing all members of the CAPR, as it does not represent the individual or collective sentiments of the General Meeting's membership.
- Third:** Translate this Resolution into English and send a copy to the First Circuit Court of Appeals in Boston.

**I CERTIFY:** That the above Resolution was ratified by the Special General Membership Meeting held on September 18<sup>th</sup>, 2008, at the San Juan Hotel, Carolina, Puerto Rico.



José Montalvo Trías  
Executive Director



COLEGIO DE ABOGADOS DE PUERTO RICO  
PO BOX 9021900, SAN JUAN PR 00902-1900  
Tel. (787) 721-3358 ext. 209 Fax (787) 722-7062  
www.capr.org  
e-mail: sistema@capr.org

7526  
LCDO CARLOS A ROMERO  
3195 PONCE DE LEON BLVD  
SUITE 400  
CORAL GABLES FL 33134

**FACTURA  
CUOTA DE COLEGIACIÓN 2007**

FAVOR DE DEVOLVER ESTA PARTE CON SU PAGO

✂=====

Puede enviar por correo, vía fax o por correo electrónico esta autorización para descontar de su tarjeta de crédito VISA, MasterCard ó American Express

Número colegiado:

Nombre:

Tel:

Fax:

Autorizo el cargo de \$

Visa/Mastercard/AE #

Código de Seguridad # \_\_\_\_\_ (últimos tres dígitos que aparecen en la parte posterior de su tarjeta de crédito)

Fecha de expiración: \_\_\_\_\_

Firma \_\_\_\_\_ Fecha \_\_\_\_\_

NUM COLEGIADO: 7526

LCDO CARLOS A ROMERO

CUOTA AÑO 2007 \$ 200.00

BALANCE ANTERIOR \$ 0.00

TOTAL \$ 200.00

CANTIDAD REMITIDA \$ \_\_\_\_\_

\* Si va a efectuar el pago de la cuota y de la fianza notarial, favor de remitir pagos por separado.

